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# $B \rightarrow K^* \gamma$ : Penguins on the Lattice

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## Abstract

We calculate the leading-order matrix element for the decay  $B \rightarrow K^* \gamma$  in the quenched approximation of lattice QCD on a  $24^3 \times 48$  lattice at  $\beta = 6.2$ , using an  $O(a)$ -improved fermion action. Extrapolating the quark masses to their physical values we obtain an on-shell form factor of  $T_1(q^2=0) = 0.15_{-14}^{+12}$ , where the errors quoted are purely statistical. We find  $T_1$  is approximately independent of the spectator quark mass and extract  $T_1(q^2=0) = 0.15_{-4}^{+5}$  if this independence is assumed. We compare this with the same form factor derived (in the Standard Model) from the CLEO experimental branching ratio of  $BR(B \rightarrow K^* \gamma) = (4.5 \pm 1.5 \pm 0.9) \times 10^{-5}$  and find the results to be consistent within statistical errors.

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# $B \rightarrow K^* \gamma$ : Penguins on the Lattice

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We calculate the leading-order matrix element for the decay  $B \rightarrow K^* \gamma$  in the quenched approximation of lattice QCD on a  $24^3 \times 48$  lattice at  $\beta = 6.2$ , using an  $O(a)$ -improved fermion action. Extrapolating the quark masses to their physical values we obtain an on-shell form factor of  $T_1(q^2=0) = 0.15_{-14}^{+12}$ , where the errors quoted are purely statistical. We find  $T_1$  is approximately independent of the spectator quark mass and extract  $T_1(q^2=0) = 0.15_{-4}^{+5}$  if this independence is assumed. We compare this with the same form factor derived (in the Standard Model) from the CLEO experimental branching ratio of  $BR(B \rightarrow K^* \gamma) = (4.5 \pm 1.5 \pm 0.9) \times 10^{-5}$  and find the results to be consistent within statistical errors.

## 1 Introduction

Theoretical interest in the rare decay  $B \rightarrow K^* \gamma$  as a test of the Standard Model has recently been renewed by the experimental results of the CLEO collaboration [1]. For the first time, this mode has been positively identified and a preliminary determination of its branching ratio given.

The significance of  $B \rightarrow K^* \gamma$  arises from the underlying flavor-changing quark-level process  $b \rightarrow s \gamma$ , which first occurs through penguin-type diagrams at one-loop in the Standard Model. The process is also sensitive to new physics appearing as virtual particles in the internal loops. Existing bounds on the  $b \rightarrow s \gamma$  branching ratio have been used to place constraints on supersymmetry [2, 3, 4] and other extensions of the Standard Model [5, 6].

In order to compare the experimental branching ratio with a theoretical prediction it is necessary to know the relevant hadronic matrix elements. These have been estimated using a wide range of methods, including relativistic and nonrelativistic quark models [7, 8, 9], two-point and three-point QCD sum rules [10, 11, 12, 13] and heavy quark symmetry [14], but there remains some disagreement between the different results. It is therefore of interest to perform a direct calculation of the matrix elements using lattice QCD. The viability of the lattice approach was first demonstrated by the work of Bernard, Hsieh and Soni [15] in 1991.

In the leading-log approximation the  $B \rightarrow K^*\gamma$  transition is caused by a single chiral magnetic moment operator from the effective weak Hamiltonian. In the notation of Grinstein, Springer and Wise [16] this is,

$$O_7 = \frac{e}{16\pi^2} m_b \bar{s} \sigma_{\mu\nu} \frac{1}{2} (1 + \gamma_5) b F^{\mu\nu}, \quad (1)$$

with an on-shell matrix element given by,

$$\mathcal{M} = \frac{e G_F m_b}{2\sqrt{2}\pi^2} C_7(m_b) V_{tb} V_{ts}^* \eta^{\mu*} \langle K^* | \bar{s} \sigma_{\mu\nu} q^\nu b_R | B \rangle, \quad (2)$$

where  $q$  and  $\eta$  are the momentum and polarization of the emitted photon. The coefficient  $C_7(m_b)$  arises from the mixing of  $O_7$  with other effective operators in running the scale down from  $M_W$  to  $m_b$ . The anomalous dimension matrix of all the effective operators at the one-loop level has been calculated by several groups and is now well-understood [17].

Following Bernard *et al.* the general matrix element can be parametrized in terms of the momentum,  $k$ , and polarization,  $\epsilon$ , of the  $K^*$ , and the momentum,  $p$ , of the  $B$  meson, using three form factors,  $T_1$ ,  $T_2$  and  $T_3$ , where  $T_1$  is chosen to be real, so that  $T_2$  and  $T_3$  are purely imaginary,

$$\langle K^*(k, \epsilon) | J_\mu | B(p) \rangle = C_\mu^1 T_1(q^2) + C_\mu^2 T_2(q^2) + C_\mu^3 T_3(q^2) \quad (3)$$

$$J_\mu = \bar{s} \sigma_{\mu\nu} q^\nu b_R, \quad q = p - k, \quad (4)$$

$$C_\mu^1 = 2\varepsilon_{\mu\nu\lambda\rho} \epsilon^\nu p^\lambda k^\rho \quad (5)$$

$$C_\mu^2 = \epsilon_\mu (m_B^2 - m_{K^*}^2) - \epsilon \cdot q (p + k)_\mu \quad (6)$$

$$C_\mu^3 = \epsilon \cdot q \left( q_\mu - \frac{q^2}{m_B^2 - m_{K^*}^2} (p + k)_\mu \right). \quad (7)$$

The on-shell ( $q^2 = 0$ ) matrix element depends on  $T_1$  only, since  $T_2(q^2=0) = -iT_1(q^2=0)$  and the coefficient of  $T_3$  is zero. Performing the necessary phase space integral and sums over polarization vectors gives the decay width for  $B \rightarrow K^*\gamma$ ,

$$\Gamma(B \rightarrow K^*\gamma) = \frac{\alpha}{8\pi^4} m_b^2 G_F^2 m_B^3 \left( 1 - \frac{m_{K^*}^2}{m_B^2} \right)^3 |V_{tb} V_{ts}^*|^2 |C_7(m_b)|^2 |T_1(q^2=0)|^2. \quad (8)$$

By computing the matrix elements on the lattice for various  $q^2$ , the on-shell value of the form factor  $T_1(0)$  can be obtained by interpolation.

## 2 Computational Details

We work in the quenched approximation on a  $24^3 \times 48$  lattice at  $\beta = 6.2$ , which corresponds to an inverse lattice spacing  $a^{-1} = 2.73(5)$  GeV, evaluated

by measuring the string tension [18]. Our calculation is performed on sixty  $SU(3)$  gauge field configurations (for details see Refs. [18] and [24]). The quark propagators are calculated using an  $O(a)$ -improved Wilson fermion action [19]. We use gauge-invariant smeared sources for the heavy quark propagators with an r.m.s. smearing radius of 5.2 [20]. Local sources are used for the light quark propagators.

As the mass of the  $b$  quark is almost twice the inverse lattice spacing, direct computation of a  $b$ -quark propagator is not feasible. We therefore compute heavy-quark propagators with masses in the region of the charm-quark mass, and extrapolate.

Our statistical errors are calculated according to the bootstrap procedure described in Ref. [18], using 250 bootstrap samples.

To obtain the matrix element  $\langle V(k)|\bar{s}\sigma_{\mu\nu}b|P(p)\rangle$ , we calculate a ratio of three-point and two-point correlators,

$$C_{\rho\mu\nu}(t, t_f, \vec{p}, \vec{q}) = \frac{C_{\rho\mu\nu}^{3pt}(t, t_f, \vec{p}, \vec{q})}{C_P^{2pt}(t_f - t, \vec{p})C_V^{2pt}(t, \vec{p} - \vec{q})}, \quad (9)$$

where,

$$\begin{aligned} C_{\rho\mu\nu}^{3pt}(t, t_f, \vec{p}, \vec{q}) &= \sum_{\vec{x}, \vec{y}} e^{i\vec{p}\cdot\vec{x}} e^{-i\vec{q}\cdot\vec{y}} \langle J_P^\dagger(t_f, \vec{x}) T_{\mu\nu}(t, \vec{y}) J_{V\rho}(0) \rangle, \\ &\xrightarrow{t, t_f - t \rightarrow \infty} \sum_{\epsilon} \frac{Z_P}{2E_P} \frac{Z_V}{2E_V} e^{-E_P(t_f - t)} e^{-E_V t} \epsilon_\rho \langle P(p) | \bar{b}\sigma_{\mu\nu}s | V(k, \epsilon) \rangle, \end{aligned} \quad (10)$$

and

$$\begin{aligned} C_P^{2pt}(t, \vec{p}) &= \sum_{\vec{x}} e^{i\vec{p}\cdot\vec{x}} \langle J_P^\dagger(t, \vec{x}) J_P(0) \rangle \xrightarrow{t \rightarrow \infty} \frac{Z_P^2}{2E_P} e^{-E_P t} \\ C_V^{2pt}(t, \vec{k}) &= -\left(\frac{1}{3}\right) \sum_{\vec{x}} e^{i\vec{k}\cdot\vec{x}} \langle J_{V\sigma}^\dagger(t, \vec{x}) J_V^\sigma(0) \rangle \xrightarrow{t \rightarrow \infty} \frac{Z_V^2}{2E_V} e^{-E_V t} \end{aligned} \quad (11)$$

with  $J_P$  and  $J_V$  interpolating fields for the pseudoscalar and vector mesons respectively.  $T_{\mu\nu}$  is the  $O(a)$ -improved version of the operator  $\bar{b}\sigma_{\mu\nu}s$  [21]. The full matrix elements can then be derived by using the relation  $\sigma_{\mu\nu}\gamma_5 = -\frac{i}{2}\epsilon_{\mu\nu\lambda\rho}\sigma^{\lambda\rho}$ . We employ time reversal symmetry to obtain the correctly ordered matrix element,  $\langle V(k)|\bar{s}\sigma_{\mu\nu}b|P(p)\rangle$ . To evaluate these correlators, we use the standard source method [22]. We choose  $t_f = 24$  and symmetrize the correlators about that point using Euclidean time reversal [23]. We evaluate  $C_{\rho\mu\nu}$  for three values of the light quark mass ( $\kappa_l = 0.14144, 0.14226, 0.14262$ ), two values of the strange quark mass ( $\kappa_s = 0.14144, 0.14226$ ) which straddle the physical value (given by  $\kappa_s^{phys} = 0.1419(1)$  [24]), and two values of the heavy quark mass ( $\kappa_h = 0.121, 0.129$ ). We employ two values of the  $B$  meson momentum ( $(12a/\pi)\vec{p} = (0, 0, 0), (1, 0, 0)$ ), and seventeen values of the momentum,  $\vec{q}$ , injected at the operator, with magnitudes between 0 and  $2\pi/(12a)$ . To improve

statistics we average over all equivalent momenta, and utilise the discrete symmetries  $C$  and  $P$ , where possible.

Provided the three points in the correlators of Eq.( 9) are sufficiently separated in time, the ground state contribution to the ratio dominates:

$$C_{\rho\mu\nu} \xrightarrow{t, t_f - t \rightarrow \infty} \frac{1}{Z_P Z_V} \sum_{\epsilon} \epsilon_{\rho} \langle V(k, \epsilon) | \bar{s} \sigma_{\mu\nu} b | P(p) \rangle + \dots \quad (12)$$

and  $C_{\rho\mu\nu}$  approaches a plateau. The factors  $Z_P$ ,  $Z_V$  and the energies of the pseudoscalar and vector particles are obtained from fits to two-point Euclidean correlators.

The form factor  $T_1$  can be conveniently extracted from the matrix elements by considering different components of the relation,

$$4(k^{\alpha} p^{\beta} - p^{\alpha} k^{\beta}) T_1(q^2) = \varepsilon^{\alpha\beta\rho\mu} \sum_{\epsilon} \epsilon_{\rho} \langle V(k, \epsilon) | \bar{s} \sigma_{\mu\nu} b | P(p) \rangle q^{\nu}. \quad (13)$$

We see a plateau in  $T_1$  about  $t = 12$ , and fit  $T_1(t; \vec{p}, \vec{q})$  to a constant for  $t = 11, 12, 13$ , where correlations are maintained between all of the time slices. The use of smeared operators for the heavy quarks provides a very clean signal, with stable plateaus forming before timeslice 11. Data with initial or final momenta greater than  $(\pi/12a)\sqrt{2}$  are excluded, because they have larger statistical and systematic uncertainties.

The data for the heaviest of our light quarks,  $\kappa_l = \kappa_s = 0.14144$ , with the smallest statistical errors, are shown in Fig. 1.

### 3 Results

We fit  $T_1(q^2)$  to a linear model in order to obtain the on-shell form factor  $T_1(q^2=0)$ ,

$$T_1(q^2) = a + bq^2, \quad (14)$$

allowing for correlations between the energies of the vector and pseudoscalar particles and  $T_1$  at each  $q^2$ . For our range of masses and momenta the differences between linear and pole model fits are small. The data and fit for  $\kappa_l = \kappa_s = 0.14144$  are shown in Fig. 2.

The light quark mass is set to zero by a correlated chiral extrapolation to  $\kappa_l = \kappa_{crit} (= 0.14315(2))$ . We assume that the on-shell  $T_1$  varies with the light kappa values,  $\kappa_l$ , according to a linear model,

$$T_1(\kappa_s, \kappa_h, \kappa_l) = T_1^{crit}(\kappa_s, \kappa_h) + \Delta_l(\kappa_s, \kappa_h) \left( \frac{1}{\kappa_l} - \frac{1}{\kappa_{crit}} \right). \quad (15)$$

The strange quark mass is set to its physical value by interpolation ( $\kappa_s = \kappa_s^{phys} = 0.14183(5)$ ).

Finally we perform an extrapolation from the two pseudoscalar masses up to  $m_B$  using a model motivated by heavy quark effective theory,

$$T_1(q^2=0; m_P) = A + \frac{B}{m_P}. \quad (16)$$

After performing this extrapolation, we obtain  $T_1(q^2=0; m_B) = 0.15_{-14}^{+12}$ , where the quoted error is purely statistical. The finite renormalization needed for the lattice–continuum matching of the  $\sigma_{\mu\nu}$  operator has been calculated [25] but has a negligible effect here ( $O(2\%)$ ) and is not included.

We note that the slopes of the form factor  $T_1$  with respect to  $\kappa_l$  in the chiral extrapolations are consistent with zero (Fig. 3), which indicates that  $T_1(\kappa_s, \kappa_h, \kappa_l)$  is almost independent of  $\kappa_l$ . However, this behaviour occurs only for the spectator quark, and is not seen to the same extent for the interacting strange quark. We explore this by fitting  $T_1$  to a constant for the three values of  $\kappa_l$ . We find that the  $\chi^2$  per degree of freedom is comparable to the original linear model, indicating that the model is statistically valid. Using this approach, the final statistical error is significantly reduced, and we obtain  $T_1(q^2=0; m_B) = 0.15_{-4}^{+5}$ . The results for  $T_1$ , using both analysis procedures, are shown in Fig. 4.

## 4 Conclusions

In this letter we have reported on an *ab initio* computation of the form factor for the decay  $B \rightarrow K^*\gamma$ . The large number of gauge configurations used in this calculation enables an extrapolation to the appropriate masses to be made and gives a statistically meaningful result. To compare this result with experiment we convert the preliminary branching ratio from CLEO,  $BR(B \rightarrow K^*\gamma) = (4.5 \pm 1.5 \pm 0.9) \times 10^{-5}$  based on 13 events, into its corresponding  $T_1$  form factor, assuming the Standard Model. We work at the scale  $\mu = m_b = 4.39$  GeV and use values from the Particle Data Book [26] combined with Eq.( 8). Setting the mass of the top quark to be  $m_t = 100, 150$  and  $200$  GeV we find  $T_1^{\text{exp}}$  to be  $0.23(6), 0.21(5)$  and  $0.19(5)$  respectively. The two lattice results are consistent with these experimental numbers within statistical errors. This is also shown in Fig. 4.

Although systematic errors of this calculation resulting from the quenched approximation, finite volume and other lattice artefacts remain to be explored, we believe that we have shown the phenomenological utility of the lattice for probing the limits of the Standard Model.

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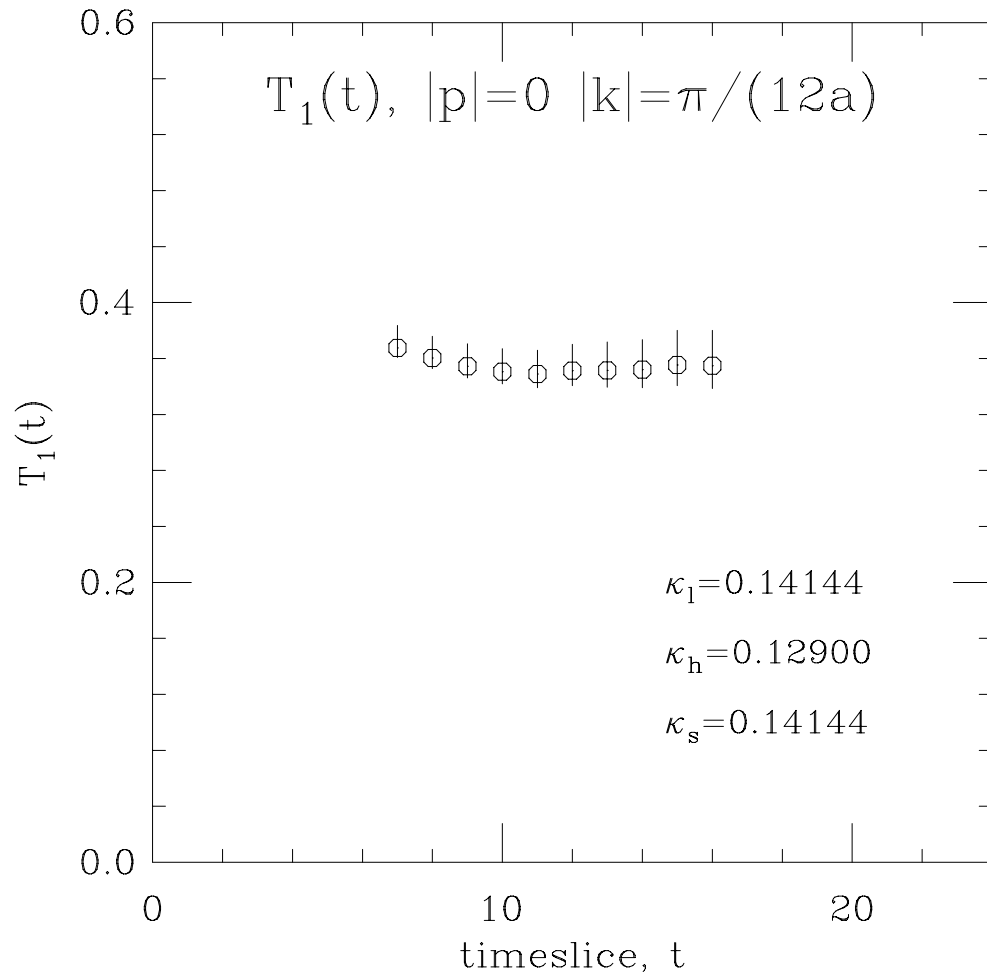


Figure 1:  $T_1$  vs. timeslice,  $t$ . (For computational reasons, only timeslices 7—16 were stored)

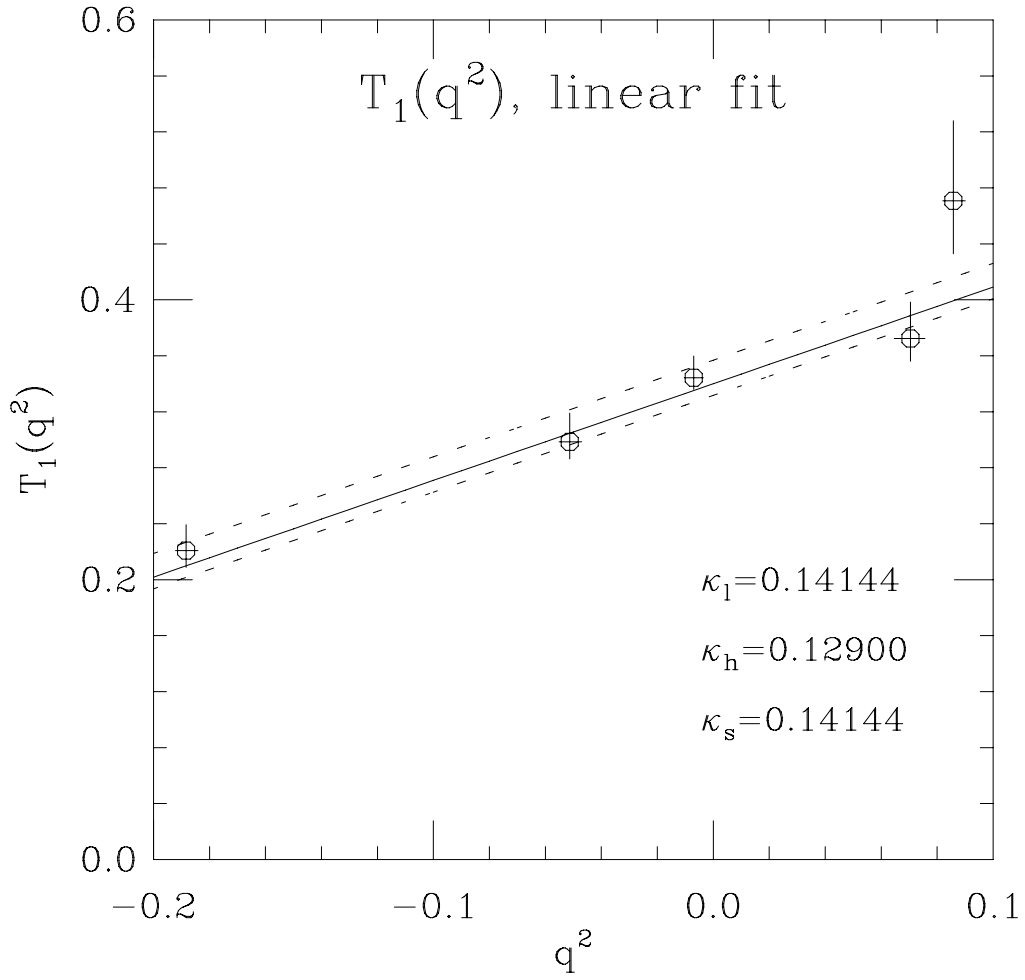


Figure 2:  $T_1(q^2)$ , with a linear fit. The dotted lines represent the 68% confidence levels of the fit at  $q^2 = 0$

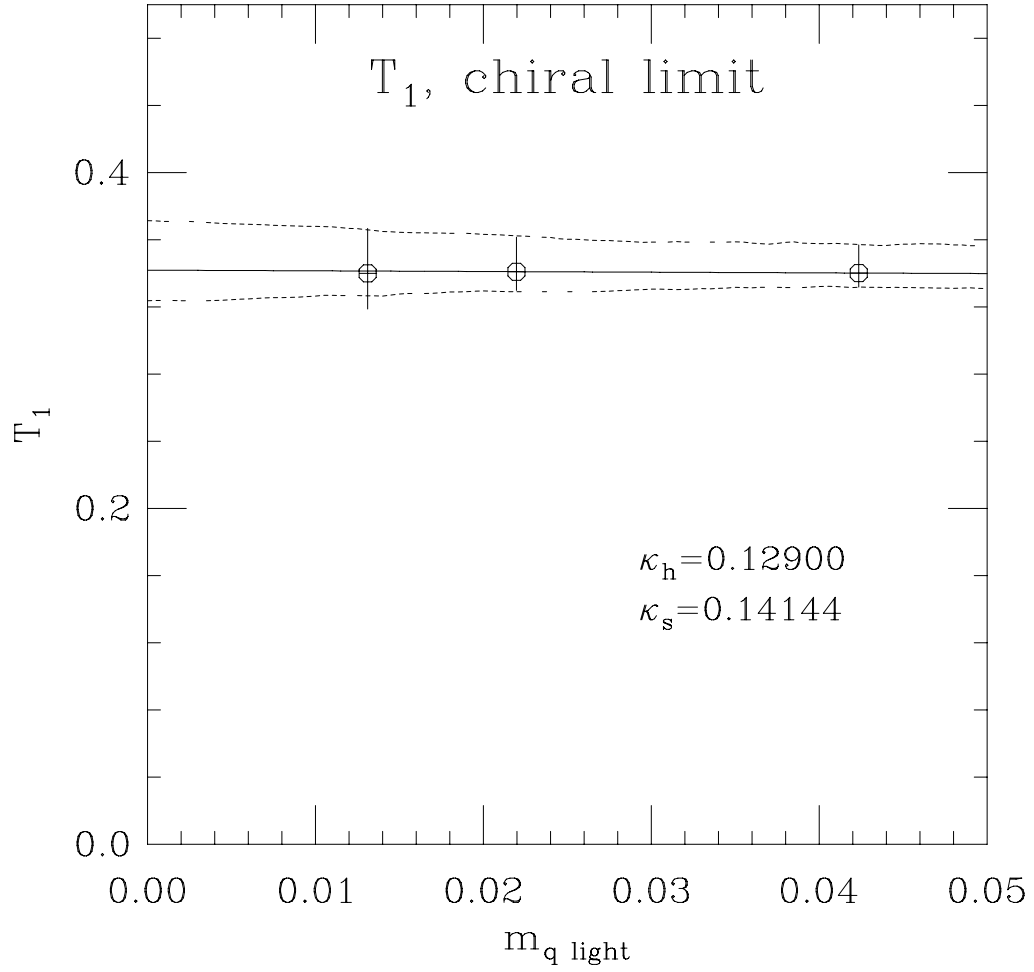


Figure 3: Chiral extrapolation of  $T_1(q^2=0)$ . The dotted lines indicate the 68% confidence levels of the fit.  $m_q \text{ light}$  is the lattice pole mass.

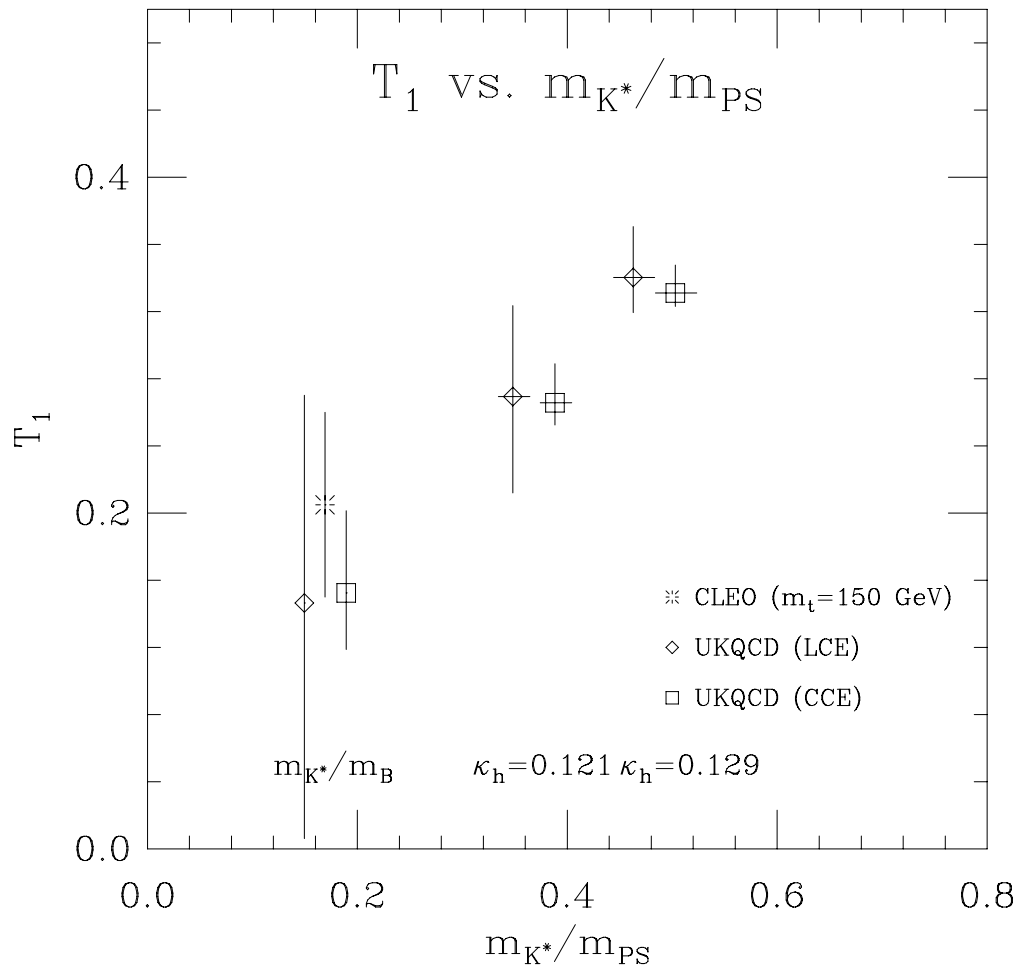


Figure 4: Extrapolation of  $T_1(q^2=0)$  to  $m_B$ . LCE — using linear chiral extrapolation, CCE — using constant chiral extrapolation for the spectator quark. (N.B. for clarity, the LCE and CCE points have been displaced horizontally by 0.02 to the left and right respectively)